half of Complaints

To: Bcc: Subject: Date: Attachments:

From:

RE: Reference Number [IFD000000210273] our filled eligibility / Complaint of EIB elegibility procedure for Reference Number IFD000000210273 Wednesday 29 March 2017 08:59:00

Dear Sir,

We herewith acknowledge receipt of your e-mail of 15 March and your follow-up message of 17 March concerning the eligibility of a Demo Project for financing under EIB/EIF InnovFin.

We herewith inform you that your complaint has been registered with the reference SG/G/2017/03.

The EIB Complaints Mechanism (EIB-CM) has carried out an assessment of your case and found that it is addressed against a decision of the Bank's services to not follow-up on a financing request that you have submitted through the required InnovFin eligibility form and some additional documentation that you sent on 17 February 2017. We would like you to please first note that according to the EIB-CM's principles of operation "decisions concerning the investment mandate of the EIB, its credit policy guidelines or the EIB participation in financing operations" fall outside the scope of the EIB-CM. However, the scope of the EIB-CM notably includes complaints of alleged maladministration.

In the context of any alleged maladministration the EIB-CM notes that the EIB/EIF InnovFin services have sent to you a project eligibility questionnaire and a flyer containing a "Pre-screening and eligibility checklist" and some further information about InnovFin Energy Demo Projects. In the pre-screening and eligibility checklist is marked that the project/investment shall demonstrate the commercial viability of any pre-commercial technologies; the EIB-CM also observes that the prospects of bankability and the commitment from promoters, sponsors and/or operators are required to be answered before the InnovFin services can apply for a technical assessment of the project.

In its assessment the EIB-CM has found that the InnovFin services have requested the demonstration of commercial viability, bankability and the promoter's own commitment as requested in the questionnaire and have engaged with you further on those points. Regretfully for your financing request under the InnovFin programme, the InnovFin services have not found sufficient confirmation in the answers received for engaging in a technical assessment of your project.

The EIB-CM therefore concludes that on the question of any alleged maladministration in handling your first eligibility and pre-screening request the EIB/EIF InnovFin services have acted according to the set procedures. However, the second aspect of your complaint, the decision of the Bank's services to not follow-up on your financing request the EIB-CM must declare that falls outside its remit. Therefore the EIB-CM decides to close your case at this stage.

The EIB-CM takes the occasion to inform you that Complainants who are not satisfied with the outcome of the procedure before the EIB-CM have the right to bring a complaint of maladministration against the EIB/EIF before the European Ombudsman. More information about the EIB-CM is available on

http://www.eib.org/about/cr/governance/complaints/index.htm.

In addition we would be grateful if you could inform us whether you wish this complaint to be treated as confidential. Details about the EIB-CM confidentiality policy are available at the following address:

http://www.eib.org/infocentre/complaints-form.htm

For any further communication regarding your complaint, please use the reference number above. Best Regards A.Abad Deputy Head of Division

A.Schim van der Loeff Senior Complaints Officer Complaints Mechanism Secretariat European Investment Bank 100, Bd Konrad Adenauer L-2950 Luxembourg Tel.: +352 4379 87220 Fax: +352 4379 63362 complaints@eib.org

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Privacy statement: Personal data is processed in accordance with Regulation (EC) 45/2001 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies. This personal data is required to allow the EIB Complaints Mechanism (EIB-CM) to carry out its tasks. It is processed by the EIB-CM staff under the supervision of the data processing controller and is used only for the purposes of the complaints' inquiry in accordance with the EIB-CM Principles, Terms of Reference and Rules of Procedure. You are entitled to access, rectify and (in certain circumstances) block this data and may exercise these rights by contacting the data processing controller at the following address: <u>complaints@eib.org</u>. You are also entitled to contact the European Data Protection Supervisor (<u>www.edos.europa.eu</u>) at any time.